



**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION IX
75 Hawthorne Street
San Francisco, CA 94105**

DATE: **October 10, 2006**

TO: **All Federally-Recognized Tribes within the EPA, Region 9 Geographic Area**

FROM: **U.S. Environmental Protection Agency (EPA), Region 9**

**SUBJECT: Funding Announcement Guidance for Available FY-08 Tribal Funding
under Section 103 of the CLEAN AIR ACT, 42 U.S.C. §7403**

SUMMARY INFORMATION:

The U.S. Environmental Protection Agency (EPA), Region 9 has available approximately \$2,500,000 of total federal funds for grants for the purpose of funding tribal air pollution control programs and projects which support tribal air quality assessment and the development of tribal air program capacity. Region 9 anticipates awarding approximately 20 grant agreements to eligible applicants carrying out projects that serve the lands in Indian Country which fall under EPA, Region 9's geographic area for work to be completed in one year.

The EPA authority for this program is the Clean Air Act (CAA), 42 U.S.C. § 7403. The Catalog of Federal Domestic Assistance Number is 66.034. All funded activities must be related to air pollution and/or air pollution control. In addition, the goal of these grants is to assist tribes in developing the capacity to manage their own air quality programs in accordance with their individual needs. Grants awarded under this program are governed by administrative requirements under 40 CFR Part 31. There is no matching requirement for this program.

CONTACT INFORMATION:

Roy Ford, (415) 972-3997
U.S. EPA Region 9, Air Division

IMPORTANT DATE TO REMEMBER:

Proposals must be received by December 18, 2006. Late proposals will not be considered

DETAILED PROGRAM INFORMATION:

The purpose of this grant program is to provide funding for tribal air pollution control programs and projects which support short-term projects aimed at conducting and promoting the coordination and acceleration of investigations, experiments, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, and control of air pollution. In the past, these activities have included air quality characterization studies, identification and investigation of air pollution sources, air quality monitoring baseline studies, training, and community education and outreach projects. Funds will be awarded to carry out projects that serve the lands in Indian Country belonging to over 140 Federally

recognized tribes which fall within EPA Region 9's geographic area. In addition, the goal of this grant is to assist tribes in developing the capacity to manage their own air quality programs in accordance with their individual needs. Grantees will use quarterly reports to document quantifiable environmental results.

EPA'S STRATEGIC PLAN AND ANTICIPATED PROGRAMMATIC ENVIRONMENTAL RESULTS

1. Linkage to EPA Strategic Plan

The proposed activities must relate to and fit within the scope of the U.S. EPA's Strategic Plan's goals and objectives. The proposed activities shall be related to ambient-air (outdoor) projects, and programmatic activities are related to Goal 1 – Clean Air and Global Climate Change; Objective 1.1 – Healthier Outdoor Air, Sub-Objective 1.1.1 – More People Breathing Clean Air, and Sub-Objective 1.1.2 – Reduced from Toxic Air Pollutants. Proposed activities related to indoor air projects and programmatic activities shall be related to Goal 1 – Clean Air Global Climate Change; Objective 1.2 – Healthier Indoor Air. Proposed activities relating to enforcement concerns in Indian Country are addressed in Goal 5 – Compliance and Environmental Stewardship; Objective 5.3 – Build Tribal Capacity.

2. Measuring Environmental Results

Applicants must discuss anticipated environmental outcomes and outputs in proposed work plan objectives and performance measures. Expected environmental outcomes mean the result, effect, or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, must be quantitative. Examples of outcomes from the agreements to be awarded under this announcement may include but are not limited to: significant number of community members with increased awareness of Indoor Air Quality issues, and/or increased number of Tribal residents breathing cleaner air.

Expected environmental outputs (or deliverables) refer to an environmental activity, effort, and/or associated work products related to an environmental goal or objectives, that will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period. Examples of environmental outputs under the agreements to be awarded under this announcement may include but are not limited to: an air quality management plan, an emissions inventory, air monitoring data, progress reports submitted on a quarterly basis, or a particular amount of residences/community buildings to receive indoor air quality inspections.

DETAILED AWARD INFORMATION:

EPA Region 9 anticipates awarding approximately \$2,500,000 to eligible applicants. EPA Region 9 anticipates awarding approximately 20 grants ranging in size from approximately \$50,000 to \$120,000. Requests of more than \$120,000 may be considered, depending on need and funding availability. All proposals should be submitted for work to be performed during the period of October 1, 2007 to September 30, 2008. It is unlikely that our available funding will allow us to fund all proposals submitted.

PROPOSAL SUBMISSION INFORMATION:

Applicants should submit proposals by hardcopy to EPA, Region 9. Full applications must not be submitted at this time.

A. Applicants should submit 3 hardcopies of their proposals to EPA, Region 9 (see Section B below for more details). Proposals may not exceed 8 pages (8 1/2 by 11, double-spaced). Excess pages will not be reviewed. Proposals must address the following items:

1. Name of Tribe
2. Contact Information for this project. (Tribal Chairman, Environmental Director and Project staff, and Organization name, Address, Phone, FAX, E-Mail Address)
3. A statement that your organization meets eligibility requirements
4. Explain briefly any previous activities/work on tribal Clean Air Act Section 103 grants
5. Brief description of Tribe, i.e. Tribal population, geographic areas covered etc.
6. How your tribe addresses Funding Factor 1 (See Page 4)
7. How your tribe addresses Funding Factor 2 (See Page 4 & 5)
8. How your tribe addresses Funding Factor 3 (See Page 5)
9. Amount of proposed Federal Funding Requested
10. Expected accomplishments or products, with dates and interim milestones.
11. Workplan summary, which includes work plan tasks, outcomes/deliverables, time line for completion of tasks, and estimated staff time for completing each work plan task.
12. Tribes with EPA-funded air monitoring stations must submit a one-to two-page summary of air quality monitoring data from the past 12 month period. **NOTE: the one to two page summary is not part of the 8 page total limit.**

B. **Submission Due Date**

Proposals must be received by EPA, Region 9 by December 18, 2006. **Proposals received after this date will not be considered.**

Please send 3 hardcopies of your proposal to:
U.S. Environmental Protection Agency, Region 9
Attn: Roy Ford (AIR-8)
75 Hawthorne Street
San Francisco, CA 94105

EPA will not accept proposals which are sent via FAX transmittal or which are sent via electronic mail.

For additional information, please contact Roy Ford, at (415) 972-3997.

C. **Confidential Business Information**

It is recommended that confidential information not be included in the proposal. However, if confidential business information is included, it will be treated in accordance with 40 CFR Part 2. In accordance with 40 CFR 2.203, applicants must clearly mark applications/proposals or portions of applications/proposals they claim as confidential. If no claim of confidentiality is made, EPA is not required to make the inquiry to the applicant otherwise required by 40 CFR 2.204(c) (2) prior to disclosure.

FUNDING FACTORS:

Please address the following funding factors set forth below.

Funding Factor 1 – Air Quality Issues and Environmental Risk

Your proposal will be evaluated based upon how well it describes and addresses the following air pollution concerns of the tribe:

1A: Is your reservation located in an area that has been designated by EPA as “non-attainment” for one of the pollutants for which there are National Ambient Air Quality Standards (NAAQS)? Please answer yes or no.

1B: Please describe the Clean Air Act Title V air pollution sources located within your tribal jurisdiction.

1C: Please describe other non-major air pollution sources of concern located within tribal jurisdiction. (e.g. minor sources, open burning, etc.)

1D: Please describe other air pollution problems, for example : transport of air pollution from off the reservation (including nearby stationary sources and urban areas), or any other air pollution problem that is a concern for the tribe.

Funding Factor 2 – Current Air Quality Program Activities

Your proposal will be evaluated based upon the current air quality-related activities conducted by your program, with General Assistance Program (GAP), Clean Air Act or any other type of funding:

2A: Ambient Air monitoring

- Please describe the type of existing air monitoring equipment used by the tribe to monitor and collect data.
- Please describe the status of Quality Assurance Project Plans (QAPPs).
- Please describe submittal of air quality monitoring data into EPA’s national database (AQS).

2B: Regulatory Program Development

- Please describe air quality legislation enacted by the Tribal government.
- Please describe air quality regulations promulgated by the Tribal government.
- Please describe air permitting/compliance activities.

2C: Air Quality Outreach, Participation and Collaboration

- Please describe activities which involve the dissemination of information regarding air pollution to the community and/or tribal leadership.
- Please describe efforts the Tribe has made to participate in both national and regional air quality initiatives on an ongoing basis.
- Please describe demonstrated or proposed collaboration, information sharing or providing technical assistance to other tribes.

Funding Factor 3 – Programmatic Capability and Environmental Results

- Please describe applicant's past performance in successfully completing projects including whether the applicant was able to complete work plan tasks and deliverables, submit required progress and financial reports in a timely fashion, and comply with all applicable grant conditions.
- Please describe applicant's plan for tracking and measuring progress toward achieving expected outputs and outcomes identified in the Strategic Plan/Environmental Results section of this Guidance.

ADDITIONAL INFORMATION:

A. Reporting:

Quarterly project status reports and Financial Status Reports will be required. Quarterly reports should describe project activities and provide the EPA Project Officer with information about project development. The Financial Status Report must accurately account for all federal funds expended and identify appropriate use of federal funds.

- B. In certain circumstances costs incurred prior to the grant award may be eligible for reimbursement. However, this does not include any costs associated with responding to this funding guidance. If costs are incurred before the award, they are incurred at the grantee's own risk. Please note that research is ineligible for funding as well as other ineligible costs outlined in 40 CFR Part 31 and OMB Circular A-87.